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**High Ambient Temperature and Mortality: A Review of Epidemiological Studies from 2001 to 2008**  
**A Review of the Epidemiologic Evidence of High Ambient Temperature and Mortality Accounting for Air Pollutants and Identifying Vulnerable Subgroups**

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## 1 **Abstract**

2 **Background:** This review examines recent evidence on mortality from elevated  
3 ambient temperature for studies published from January 2001 to September 2008.

4 **Methods:** Pub Med was used to search for the following keywords: temperature,  
5 apparent temperature, heat, heat index, and mortality. The search was limited to the  
6 English language and epidemiologic studies. Studies that reported mortality counts or  
7 excess deaths following heat waves were excluded so that the focus remained on  
8 general ambient temperature exposure in a variety of locations. Studies focusing on  
9 cold temperature effects were also excluded.

10 **Results:** Thirty-eight total studies were presented in three tables: 1) elevated ambient  
11 temperature and mortality; 2) air pollutants as confounders and/or effect modifiers of the  
12 elevated ambient temperature and mortality association; and 3) vulnerable subgroups of  
13 the elevated ambient temperature mortality association. The evidence suggests that  
14 particulate matter with less than 10um in aerodynamic diameter and ozone are both  
15 confounders, while ozone was also found to be an effect modifier in the warmer months  
16 in some studies. Elevated temperature was associated with increased risk for those  
17 dying from cardiovascular, respiratory, cerebrovascular, and some specific  
18 cardiovascular diseases, such as ischemic heart disease, congestive heart failure, and  
19 myocardial infarction. Vulnerable subgroups also included: Black racial/ethnic group,  
20 women, those with lower socioeconomic status, and several age groups, particularly the  
21 elderly over 65 years of age as well as infants and young children.

22 **Conclusions:** Many of these outcomes and vulnerable subgroups have not been  
23 identified previously and were dependent on the location and study population. Thus,

1 ~~region-specific policies, especially in urban areas, are vital to the mitigation of heat-~~  
2 ~~related deaths.~~

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4

## 1 Background

2

3 In 2002, a comprehensive epidemiologic review by Basu and Samet [1] summarized the  
4 findings from studies examining mortality from elevated ambient temperature and heat  
5 waves. Most of the evidence at that time was based on studies following heat waves.

6 Several studies have been conducted more recently using modern statistical

7 approaches, consisting primarily of the time-series ~~approach~~ and ~~the time-stratified~~

8 case-crossover approaches design. While other reviews have been conducted more

9 recently on heat and mortality, the focus has been on methodological issues and

10 approaches [2] and on climatology [3], leaving a number of important epidemiologic

11 ~~issues studies excluded largely unaddressed~~. Many studies ~~of included in the previous~~

12 ~~review of~~ ambient temperature and mortality did not account for air pollutants, and in the

13 previous review [1], it was not clear from the few studies conducted whether air

14 pollutants acted as confounders, effect modifiers, or both. It is critical to separate the

15 independent effects of both ambient temperature and air pollutants, since they may

16 often influence each other on a daily basis. Thus, ~~only after accounting for pollutants in~~

17 ~~the models with ambient temperature~~, the actual association between ambient

18 temperature and mortality can be observed, only after accounting for pollutants in the

19 models with ambient temperature. Furthermore, demographic characteristics, such as

20 poverty and age, can modify the severity of heat effects through various physiological

21 and behavioral pathways. Thus, certain subgroups may be particularly vulnerable to

22 heat effects, and identifying them for specific locations would be beneficial for targeting

23 public health interventions.

1  
2 Here, the epidemiologic evidence from January the past decade 2001 to September  
3 2008 of high ambient temperature and mortality is summarized, with a closer  
4 examination of studies of the potential effect of air pollution on the temperature-mortality  
5 association as a confounder and/or effect modifier, as well as vulnerable subgroups  
6 of the temperature-mortality association. A general discussion of harvesting mortality  
7 displacement on the association between temperature and mortality is also included.  
8 Mortality displacement (also known as harvesting) refers to the phenomena suggesting  
9 that observed deaths from some environmental exposure, such as ambient  
10 temperature, occur in the most frail individuals whose deaths have only been brought  
11 forward by a few days.

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#### 14 **Methodological issues**

15

##### 16 **Inclusion/exclusion criteria**

17 All studies included in this review were published in peer-reviewed journals between  
18 January 2001 and December 2008. Pub Med was used to search for the following  
19 keywords: temperature, apparent temperature, heat, heat index, and mortality. In  
20 addition, Tables 2 and 3 had the keyword “air pollutants,” “ozone,” and “particulate  
21 matter” added, and Table 4 and 5 had “vulnerable”, “susceptible subgroups/groups”  
22 added. The search was limited to the English language and epidemiologic studies. The  
23 review focused primarily on quantitative studies of ambient temperature, consisting of

1 studies using the time-series and/or case-crossover methods. The outcomes from  
2 these studies reported a regression coefficient, relative risk, odds ratio, or percent  
3 change in mortality, along with corresponding standard errors or confidence intervals.  
4 Studies that reported mortality counts or excess deaths following heat waves were  
5 excluded so that the focus remained on general ambient temperature exposure ~~in a~~  
6 variety of locations, rather than on short time periods. Review articles or sStudies  
7 focusing on cold temperature effects ~~\_~~ were also excluded. ~~Thirty-eight~~ Thirty-six studies  
8 published in peer-reviewed journals were selected for this epidemiologic review, with  
9 5764 total studies in the references that included a general discussion of temperature  
10 and mortality.

11

### 12 ***Exposure assessment***

13

14 Temperature data are often measured near airport monitoring stations, and analyzed at  
15 the city or county level. Thus, misclassification of exposure may occur, especially for  
16 larger geographic areas. Also, measuring ambient temperature outside of urban areas  
17 may artificially reduce the temperature measurement, since urban areas often have  
18 higher temperatures than suburban or surrounding areas because of heat absorbed by  
19 buildings and roadways (known as the urban heat-island effect). However, since the  
20 bias should be non-differential (i.e., not different by county or other unit of analysis), the  
21 bias in the estimate would be toward the null, where the results would be  
22 underestimated. Misclassification of exposure may be reduced in future studies by

1 using smaller buffer zones, such as five or ten kilometers around each monitor, if  
2 sufficient data are available.

3  
4 Exposure to ambient temperature is often defined as some combined metric of  
5 temperature and relative humidity or dew point temperature, such as heat index,  
6 humidex, or apparent temperature, depending on the study location and author's  
7 preference. In addition, other variables, such as day of the week, time trend, and  
8 barometric pressure, are often added to the model. In some studies, air pollutants have  
9 been assessed as confounders or effect modifiers in an attempt to tease apart the  
10 independent effect of temperature. A monitor or an average of monitors is often used to  
11 characterize exposure for a county, or a given distance from the home address using  
12 geospatial coding. Many investigators relied on mean daily average to classify  
13 exposure, although others used maximum or minimum temperature to capture daytime  
14 and nighttime exposures, respectively, since those have also been shown to play a role  
15 in heat-related mortality. Because the effect of temperature has been found to be  
16 immediate (i.e., same lagged day), exposure can be characterized by the place of  
17 death.

### 18 19 ***Case selection***

20  
21 ~~H~~Since heat-related mortality is ~~still~~ often underestimated, and since a systematic  
22 definition still does not exist, it may only be indicated when heat waves occur, resulting  
23 in (a signal detection bias). Thus, investigators often use all-cause mortality excluding

1 mortality due to accidents, or other related outcome, such as mortality from  
2 cardiovascular or respiratory diseases in epidemiologic studies of heat or elevated  
3 ambient temperature. The underlying cause of death is usually used for epidemiologic  
4 studies and should be sufficient for characterizing the temperature-mortality association,  
5 although associated causes of death can also be used, ~~although the immediate cause~~  
6 ~~of death may be more useful since the effect of heat is imminent.~~

7  
8 The studies to date are often limited by information provided by the death certificate  
9 data. For example, information on income level, poverty, or air conditioning use is not  
10 offered on the individual level, so it is difficult to examine socioeconomic status. Thus,  
11 gathering data on individual characteristics, as has been done in a previous study [4],  
12 would be informative. In addition, medication use, time-activity patterns, and biologic  
13 mechanisms could be further understood.

14

### 15 ***Study design***

16

17 Most of the studies conducted in the past decade rely on the time-series or case-  
18 crossover study designs, with the exception of the studies of the 2003 European heat  
19 wave, which are not included in this review. Regardless of the method chosen, the  
20 time-series and case-crossover study designs should yield similar results, as has been  
21 shown in some temperature-mortality studies [5-7][4-6].

22

1 The time-series is a widely accepted approach in both air pollution and temperature  
2 studies, ~~while the case-crossover design has been employed more recently~~. The time-  
3 series study generally encompasses large populations in multiple geographic areas  
4 over a given time period, ~~Mortality where mortality~~ counts or rates are compared to  
5 exposure measurements collected at regular time intervals (e.g., daily, weekly), ~~usually~~  
6 daily. Seasonality and other confounding factors that fluctuate over time are accounted  
7 for by adding the covariates to the model (i.e., day of the week, air pollutants) and using  
8 smoothing functions to the model using specified degrees of freedom.

9  
10 The ~~case-crossover design has been employed more recently, gaining widespread~~  
11 ~~popularity for studying air pollution and temperature in the past decade. This case-~~  
12 ~~crossover~~ study design is similar to a matched case-control study; however, each case  
13 in the case-crossover study serves as his/her own control. Thus, biases due to  
14 measured and unmeasured confounders, such as genetics, health behaviors, and  
15 physiologic differences, are ~~-~~ controlled for by study design. The case-crossover study  
16 design has been refined since its introduction in 1991, from the unidirectional, to the  
17 bidirectional, and ~~most recently~~ currently, to the time-stratified approach. The time-  
18 stratified approach limits the bias from selecting control periods only previously to the  
19 case period (unidirectional), or from not selecting control periods at random from the  
20 time at which the case occurred (bidirectional). Most commonly, control periods are  
21 selected within the same month and the same year that the case period occurred in the  
22 time-stratified approach to inherently minimize biases that may occur from time trends.  
23 Day of the week is also matched for by study design by choosing control periods every

1 seven days, or may be added to the model as an indicator variable, especially if data  
2 are sparse (e.g., if using particulate matter in the model, data are often collected every  
3 third day, and thus, would warrant control periods to be selected every third day).—In  
4 the studies listed in the following tables, all case-crossover studies used the time-  
5 stratified approach.

6

7 Multi-city analyses are preferred, since bias from a single city analysis may result and  
8 the findings from multiple areas may be more generalizable [6]. Thus, city or county-  
9 level estimates are usually combined into one overall estimate using meta-analytic  
10 techniques using a random effects model.

11

### 12 ***Mortality displacement***

13

14 In epidemiologic studies of temperature or air pollution and mortality or morbidity,  
15 mortality displacement/harvesting has been addressed in several different ways [8-13].  
16 Among the more intuitive approaches, one can examine very long cumulative averages  
17 (i.e., 20 to 40 days of exposure) to determine whether a positive association found over  
18 the first few days is offset by a negative association over subsequent days. This would  
19 suggest that a pool of frail individuals was the only or major subgroup that was impacted  
20 by the exposure. However, if harvesting is not found, then the exposure under study is  
21 a real public health issue.

22

1 In studies of temperature and mortality, very few studies have addressed the harvesting  
2 issue; a few are summarized here. Braga et al. [8, 11] considered the effect of  
3 temperature on various causes of mortality, and reported a harvesting effect on very hot  
4 days, but not on cold days. Schwartz et al. [14] reached a similar conclusion in their  
5 study of temperature and hospitalizations for myocardial infarction. In another study, a  
6 similar effect was found in Sofia, but for both cold and hot temperatures, and less of a  
7 harvesting effect was observed at high temperatures in London [15] and Dublin [16]. In  
8 a study of Sao Paulo, Delhi and London, Hajat et al. [17] reported mixed results. Short-  
9 term mortality displacement was apparent in London, but in both Delhi and Sao Paulo,  
10 the risk for mortality remained high at comparatively longer lag periods. This finding  
11 could be partially explained by the different temperature patterns in the cities as well as  
12 a larger impact on infants and children in Delhi and Sao Paulo. Among the several  
13 studies following the 2003 European heat wave, Le Tertre et al. [18] examined the  
14 possibility of harvesting in 9 French cities, and concluded that the harvesting effect was  
15 not found, and thus, could not solely explain the excess mortality (determined by the  
16 number of observed cases subtracted from the number of expected cases based on  
17 previous years). Toulemon and Barbieri [19] reported a modest harvesting effect  
18 following the 2003 heat wave and attributed most of the deaths in the elderly to other  
19 factors, such as social isolation. In Germany, harvesting did not play a role for all-cause  
20 or respiratory mortality, but have impacted deaths due to neoplasms [20].

21  
22 Thus, the evidence is mixed and may depend on: (1) whether one is examining heat  
23 waves versus a more general rise in temperature; (2) the study design and lag structure

1 used for temperature effects; (3) the potential interactions with air pollution; (4) the  
2 baseline health status of the population; (5) the population at risk; and (6) other local  
3 factors that might determine vulnerability.

4

## 5 **Summary of Studies Results**

6

7 Fourteen studies were epidemiologic studies of ambient temperature and mortality,  
8 while 14 other studies considered air pollutants as potential confounders/effect  
9 modifiers, and six considered vulnerable subgroups. Most of these studies used either  
10 the time-series method (n = 29), while fewer used the case-crossover approach (n =  
11 10). Eleven studies were conducted in the US. Ten studies were published using  
12 European data, three in Latin America, three in Australia, two in Canada, and  
13 elsewhere. The studies are all summarized in the following Tables 1 to 5 by year of  
14 publication, with the most recent studies first, followed by alphabetical order of the first  
15 author's last name. Since some studies included an examination of general ambient  
16 temperature and mortality, accounted for air pollutants, and/or identified vulnerable  
17 subgroups, the same study may be listed in multiple tables with the relevant results.

18

### 19 ***General Ambient Temperature and Mortality***

20

21 In Table 1, the recent studies of high ambient temperature and mortality are  
22 summarized. To focus on the effects of warmer temperatures, most investigators  
23 limited their data above a threshold value ~~around 29C (equivalent to 85F)~~, or have

1 compared ~~the~~ effect estimates from temperatures above a threshold value to another  
2 lower value ~~those effects from lower temperatures (i.e., 1<sup>st</sup> or 5<sup>th</sup> percentile)~~. The  
3 threshold value is often ~~established a priori or~~ based on some percentile of the data  
4 (i.e., 90<sup>th</sup> or 95<sup>th</sup> percentile), after visual inspection of the exposure-response curves or  
5 by mathematical (i.e., through derivatives) or statistical (i.e., by maximum likelihood)  
6 methods. The data are often limited to the summer months or warm season to establish  
7 heat effects. Limiting the data to summer months or the warm season is also employed  
8 to exclude possible (negative or positive) effects from cold temperatures on mortality in  
9 the attempt to estimate the actual risk from heat effects. Because of these several  
10 classifications of temperature exposure, it is difficult to directly compare the values  
11 resulting from these studies. However, few comparisons can be made. For example, in  
12 Europe and Korea, where different levels of temperature and humidity ~~levels~~ were  
13 experienced, ~~and therefore, different acclimatization patterns exist~~, the mortality  
14 estimates above a threshold of (23.3C to 29.7C) resulted in different effect estimates  
15 [12, 21][7]. With similar threshold values in the Mediterranean (29.4C) and Korea (27-  
16 29.7C), a 1C increase of apparent temperature corresponded to a 3.12% increase in  
17 daily mortality in Mediterranean cities, and a much higher effect in Korea (6.73%-16.3%  
18 in 6 cities) for a similar time period. Two recent studies conducted by Basu et al. [6,  
19 22][5, 8] and Zanobetti and Schwartz [7][6] using identical methods suggested that the  
20 effect estimates throughout California and other parts of the US are similar, even with  
21 different ranges of apparent temperature. They both found approximately a 2%  
22 increase in mortality associated with a 10F increase in apparent temperature.

23

## ***Air Pollutants as Confounders/Effect Modifiers***

1  
2  
3 Tables 2 and 3 includes recent studies that have evaluated air pollutants as a potential  
4 confounder and/or effect modifier of the high ambient temperature and mortality  
5 association. The pollutants that have been examined include ozone (O<sub>3</sub>), particulate  
6 matter less than 10 ug/m<sup>3</sup> in aerodynamic diameter (PM<sub>10</sub>), fine particulate matter  
7 (PM<sub>2.5</sub>), carbon monoxide (CO), sulfur dioxide (SO<sub>2</sub>), and nitrogen dioxide (NO<sub>2</sub>).  
8 Most investigators who considered pollutants evaluated PM and O<sub>3</sub>, since these  
9 pollutants have been found to be associated with mortality and are often correlated with  
10 high temperature. Table 2a and 2b list the studies of O<sub>3</sub>, while Tables 3a and 3b list  
11 studies of particulate matter (PM). In addition, the studies are also separated by study  
12 design: Tables 2a and 3a summarize time-series studies, and Tables 2b and 3b  
13 summarize case-crossover studies. If an investigator used both study designs and/or  
14 examined O<sub>3</sub> and PM, then a study may be more than once in the respective tables.  
15 ~~The results remain mixed, with some investigators reporting air pollutants as~~  
16 ~~confounders or effect modifiers while others reported no significant confounding or~~  
17 ~~effect modification in their studies.~~  
18  
19 Although the effect estimates changed with pollutants in the model, no significant  
20 confounding [23][9] or effect modification by pollution on the association between  
21 temperature and mortality was reported in some recent studies conducted in the US [6,  
22 7][5, 6]. The studies conducted by Bell et al. and Zanobetti and Schwartz considered  
23 PM<sub>10</sub> (as well as PM<sub>2.5</sub> in the Zanobetti and Schwartz study) and O<sub>3</sub>, while the

1 study by Basu et al. considered O<sub>3</sub>, PM<sub>2.5</sub>, PM<sub>10</sub>, NO<sub>2</sub>, CO, and SO<sub>2</sub>.  
2 Stafoggia [24] and Rainham and Smoyer-Tomic [25] also reported no confounding by  
3 O<sub>3</sub> in Italy and Canada, respectively, and Pattenden did not find confounding by markers  
4 of PM in both Sofia (total suspended in particulates) and London (black smoke).  
5 However, PM<sub>10</sub> was found to be confounder in Monterrey, Mexico [26][10], Sydney,  
6 Australia [27], and in regions throughout the United States, especially in the summer  
7 [5][4]. Ren and Tong, and an effect modifier in Australia [28][11] also observed PM10 to  
8 modify the association in their study conducted in Brisbane, Australia. O<sub>3</sub> was found  
9 to be a confounder especially on hot days [26, 29][10, 12], a but not in another Italian  
10 study [13], and three other investigators studies also showed O<sub>3</sub> to be a positive  
11 effect modifier of temperature and mortality, at least in some study locations [14-16] [30,  
12 31].

13  
14 The results for confounding and/or effect modification by air pollutants on the  
15 temperature-mortality association remain mixed; as stated, some investigators reported  
16 air pollutants as confounders or effect modifiers while others found no significant  
17 confounding or effect modification in their studies.

18

### 19 ***Cause-specific Outcomes and Vulnerable Subgroups***

20

21 Much of the focus of epidemiologic studies has been identifying cause-specific  
22 outcomes and vulnerable subgroups of mortality from high ambient temperature (Tables  
23 4 and 5). Table 4 specifically focuses on studies using the time-series analysis, while

1 Table 5 summarizes studies using the case-crossover study design. Some  
2 ~~investigators have examined specific diseases as outcomes, such as cardiovascular~~  
3 ~~(CVD), respiratory, cerebrovascular, and diabetes. Education, gender, racial/ethnic~~  
4 ~~group, and indicators for socioeconomic status have also been examined in fewer~~  
5 ~~studies.~~

6  
7  
8 Some investigators have reported greater risks for deaths from cardiovascular  
9 ~~(CVD)~~CVD [5, 22, 32][4, 8, 17], respiratory [5, 32, 33][4, 17, 18], cerebrovascular  
10 [24][13], diabetes [34, 35][19, 20], or pre-existing psychiatric disorders [24, 36, 37][13,  
11 21, 22]. Other studies also showed elevated risk from mortality subcategories of CVD  
12 diseases, such as myocardial infarction [8, 22, 38][8, 23, 24], ischemic heart disease  
13 [22][8], and congestive heart failure [22, 37, 39][8, 22, 25],

14  
15 Age has been found to modify the association between ambient temperature and  
16 mortality. The elderly ~~have been~~were reported to be at greater risk from mortality  
17 following heat waves, as well as ambient temperature. In addition to the elderly who  
18 were at least 70 years [38][23] or 65 years [5, 22, 23, 32, 33, 40][4, 8, 9, 17, 18, 26] of  
19 age, children under 15 years [26, 40][10, 26], children five years and younger [22][8],  
20 and infants one year of age and under [22, 41][8, 27] have been identified to be at  
21 increased risk for mortality from high ambient temperature. One investigator also  
22 reported 15 to 64 years of age to be at a significantly increased risk, although still lower  
23 than the elderly or young children [40][26].

1  
2 Modifications by gender has also been studied, and some investigators reported no  
3 difference by gender [22][8], while others found men in Santiago and Sao Paolo [23][9]  
4 specifically for circulatory causes [41][27] or women in various locations [23-25, 32,  
5 42][9, 13, 17, 28, 29] to be at higher risk for mortality.

6  
7 Other recent epidemiologic studies also reported Black racial ethnic group [22, 43][8,  
8 30] and non-Whites [35][20] to be at greater risk than Whites in the US. Hispanic  
9 subgroups, however, have not been identified as being at greater risk in one study,  
10 partially explained by more social networking among this ethnic group [22][8].

11  
12 Other factors that provoked greater risk included indicators for lower socioeconomic  
13 status, including the less educated, persons living in lower income areas [24][13] and  
14 dying out of the hospital [22, 34, 44][8, 19, 31]. However, lower socioeconomic status  
15 [40][26] and education level were not found to be a risk factor in all studies [22][8].

### 16 17 ~~————~~ **Harvesting**

18  
19 ~~Harvesting refers to the phenomena suggesting that observed deaths from some~~  
20 ~~environmental exposure occur in the most frail individuals whose deaths have only been~~  
21 ~~brought forward by a few days. —In epidemiologic studies of temperature or air pollution~~  
22 ~~and mortality, harvesting has been addressed in several different ways [7, 8][32, 33].~~  
23 ~~Among the more intuitive approaches, one can examine very long cumulative averages~~

1 (i.e., 20 to 40 days of exposure) to determine whether a positive association found over  
2 the first few days is more than offset by a negative association over subsequent days.  
3 This would suggest that a pool of frail individuals was the only (or major) group that was  
4 impacted by the exposure. Such a phenomenon would have important consequences  
5 for attempts to apply economic valuation to this outcome since it would indicate that the  
6 lost of life was on the order of a few days, rather than several years.

7  
8 In studies of temperature and mortality, very few studies have addressed the harvesting  
9 issue. Braga et al. [9, 10][24, 34] considered the effect of temperature on various  
10 causes of mortality, and reported a harvesting effect on very hot days, but not on cold  
11 days. Schwartz et al. [11][35] reached a similar conclusion in their study of temperature  
12 and hospitalizations for myocardial infarction. In another study, a similar effect was  
13 found in Sofia, but for both cold and hot temperatures, and less of a harvesting effect  
14 was observed at high temperatures in London [12][36] and Dublin [13][37]. In a study of  
15 Sao Paulo, Delhi and London, Hajat et al. [14][38] reported mixed results. Short-term  
16 mortality displacement was apparent in London, but in both Delhi and Sao Paulo, the  
17 risk for mortality remained high at comparatively longer lag periods, partially due to the  
18 larger impact on infants and children. Among the several studies following the 2003  
19 European heat wave, Le Tertre et al. [15][39] examined the possibility of harvesting in 9  
20 French cities, and concluded that the harvesting effect was not found, and thus, could  
21 not solely explain the excess mortality (determined by the number of observed cases  
22 subtracted from the number of expected cases based on previous years). Toulemon  
23 and Barbieri [16][40] reported a modest harvesting effect following the 2003 heat wave

1 ~~and attributed most of the deaths in the elderly to other factors, such as social isolation.~~  
2 ~~In Germany, harvesting did not play a role for all-cause or respiratory mortality, but have~~  
3 ~~impacted deaths due to neoplasms [17][41].~~

4  
5 ~~Thus, the evidence is mixed and may depend on: (1) whether one is examining heat~~  
6 ~~waves versus a more general rise in temperature; (2) the study design and lag structure~~  
7 ~~used for temperature effects; (3) the potential interactions with air pollution; (4) the~~  
8 ~~baseline health status of the population; (5) the population at risk; and (6) other local~~  
9 ~~factors that might determine vulnerability.~~

10

## 11 ConclusionsDiscussion

12

13 In the past few years, several epidemiologic studies have been conducted in various  
14 locations to characterize temperature and mortality. In the US, similar effects were  
15 found in nine9 counties in California and in nine9 counties outside of California in two  
16 separate studies using the same methods [6, 7][5, 6]. In Europe and Korea, however,  
17 the effect estimates were larger [12, 21][7, 42], further supporting the need to conduct  
18 temperature-mortality studies for specific areas. The results from future studies can be  
19 more readily compared if estimates are reported per degree Celsius or Fahrenheit per  
20 unit change in temperature (assuming linearity), or if a regression coefficient is given,  
21 rather than selecting a threshold value for temperature. In addition, investigators should  
22 consider accounting for air pollutants and identifying vulnerable subgroups in their  
23 epidemiologic studies.

1  
2 The recent epidemiologic evidence suggests that PM and O<sub>3</sub> ~~may be both~~  
3 confounders, and some studies also found O<sub>3</sub> to be an effect modifier in the warmer  
4 months. In other words, the association between temperature and mortality is partially a  
5 result of ~~the effect of confounding by~~ PM and O<sub>3</sub>. Others have reported that  
6 temperature has a greater effect on mortality with higher levels of O<sub>3</sub> (i.e.,  
7 synergism). Some of the conflicting evidence for confounding and effect modification by  
8 air pollutants may be due to different sources, chemistry, size distribution of particles,  
9 compositions and patterns of exposure [45][43] of gases and particles. Although O<sub>3</sub>  
10 generally peaks in the summer throughout the US, particulate matter peaks in the winter  
11 in California and in the summer on the East Coast. Thus, there would more likely be an  
12 impact of PM on elevated ambient temperature and health outcomes on the East Coast.  
13 Acclimatization may also play a critical role in the temperature-mortality association.  
14 People who live in areas where high ambient temperatures or heat waves are typically  
15 experienced may be less affected than people who reside in areas where high ambient  
16 temperatures are less commonly observed. Thus, even if there is effect modification  
17 between ambient temperature and a pollutant, such as O<sub>3</sub>, the influence on mortality  
18 may be minimal, but synergistic in areas where heat waves are uncommon.

19

20

21 Several vulnerable subgroups have been identified. Many of these outcomes and  
22 vulnerable subgroups have not been identified in previous epidemiologic studies of  
23 ambient temperature and were dependent on the location and study population. Thus,

1 region-specific policies, especially in urban areas, are vital to the mitigation of heat-  
2 related deaths. Specifically, tThose dying from cardiovascular, respiratory, and some  
3 specific cardiovascular diseases, such as ischemic heart disease, congestive heart  
4 failure, and myocardial infarction were at greater risk for heat-related mortality. Other  
5 vulnerable subgroups included: Black racial/ethnic group, women, those with lower  
6 socioeconomic status, and all age groups, particularly the elderly over 65 years of age  
7 as well as infants and young children.

8  
9 Infants, and young children, and the elderly should be specifically targeted in future  
10 studies to prevent heat-related mortality. With the elderly increasing in urban  
11 environments, an important research goal is the identification of clinical patterns of  
12 chronic diseases that increase the susceptibility to heat. Furthermore, vulnerable  
13 subgroups need to be further identified by cause-specific outcomes or demographics,  
14 such as racial/ethnic group. Furthermore, adverse birth outcomes have been found to  
15 be associated with air pollutants in previous studies, but have not been investigated,  
16 specifically for ambient temperature. Although previous studies of air pollution and birth  
17 outcomes have not accounted for temperature, some investigators have suggested  
18 seasonal associations, implying that temperature could also play a role with adverse  
19 birth outcomes and warrants further investigation.

20  
21 ~~There is less known about morbidity associated with temperature, and because few of~~  
22 ~~the outcomes examined have enough overlap across studies, it is difficult to come up~~  
23 ~~with a consensus of the results. Following the Chicago heat wave in July 1995,~~

~~Semenza et al. [44] found an excess risk of dehydration and heat stroke as primary diagnoses and CVD, renal disease and diabetes as underlying causes. Hansen et al. [45] also found an association with renal disease and mental health [46] in Australia. Other investigators also reported increased associations with other CVD outcomes, such as acute myocardial infarction [20, 35, 47], congestive heart failure [47], and coronary atherosclerosis [47]. Ischemic, but not hemorrhagic [48] stroke, and pulmonary heart disease [47] were also found to have elevated associations in Glasgow, Scotland and Denver, Colorado, respectively. Further research of morbidity can be assessed by examining emergency room/urgent care visits and hospitalizations.~~

Although many studies of temperature have been conducted in other disciplines such as climatology, they have received greater attention in epidemiology in the past five years. Several biological mechanisms have been postulated for susceptible populations to heat-related mortality, particularly the elderly [46][49]. When body temperatures rise, blood flow generally shifts from the vital organs to underneath the skin's surface in an effort to cool down. The body's ability to regulate its temperature (also known as thermoregulation) may be impeded when too much blood is diverted, putting increased stress on the heart and lungs. Increased blood viscosity, elevated cholesterol levels associated with higher temperatures, and higher sweating threshold may also trigger heat-related mortality [47][50]. The body's ability to adapt to high ambient temperature can be influenced by acclimatization. People who live in areas where high ambient temperatures are not generally experienced are more likely to be affected by a heat wave. The synergistic impact of high ambient temperature along with high levels of air

1 pollutants, such as O<sub>3</sub> and PM, may also play a role in increasing the mortality effect.

2 Furthermore, heat waves occurring earlier in the year may have a greater impact on  
3 mortality since the population has not had the chance to adapt to hotter temperatures.

4

5 ~~The studies to date are often limited by information provided by the death certificate  
6 data. For example, information on income level, poverty, or air conditioning use is not  
7 offered on the individual level, so it is difficult to examine socioeconomic status.~~

8 ~~Gathering data on individual characteristics, as has been done in a previous study [51],  
9 would be informative. In addition, medication use, time-activity patterns, and biologic  
10 mechanisms could be further understood. Since temperature has often been examined  
11 on the county level in most studies, misclassification of exposure may occur, especially  
12 for larger counties. However, since the bias should be non-differential (i.e., not different  
13 by county or unit of analysis), the bias in the estimate would be toward the null, where  
14 the results would be underestimated.~~

15

16 This review is timely as climate change receives more global attention, and more

17 epidemiologic studies have been recently conducted. It, however, has several

18 limitations. While it includes the most recent epidemiologic studies using time-series

19 and case-crossover methods, it does not include studies of heat waves or studies using

20 other approaches in an effort to focus on general ambient temperature over longer time

21 periods. Both methods rely on ecologic exposure variables for temperature, and the

22 time-series analysis also uses aggregated counts of mortality. Thus, an advantage of

23 the case-crossover study is that differences by individual-level characteristics such as

24 age, race/ethnic group, gender can be analyzed. Although the methods used across

1 studies were similar, it was still often difficult to compare estimates between studies  
2 because of the analysis type (e.g., different threshold values). There were also not a  
3 sufficient number of studies to conduct a meta-analysis of the results, or other more  
4 substantial quantification. Finally, there may be some publication bias in the studies  
5 that were chosen, but by using PubMed, the bias may be limited, as it includes most  
6 scientific journals.

7  
8 Further studies need to be conducted in more urban locations so that policies can be  
9 implemented for specific areas rather than for an entire geographic area. These studies  
10 would be helpful to the National Weather Service, -health care institutions, and  
11 governmental agencies to implement policies to prevent heat-related mortality and also  
12 create a better heat warning system based on current studies. They will also be helpful  
13 to establish policy guidelines for the U.S. Environmental Protection Agency (personal  
14 communication), and could be used for economic analyses. Although no formal  
15 evaluation of heat-health watch warning systems has been performed to date, sSome  
16 city-based heat-health watch warning systems ~~that~~ have already been implemented  
17 appear to be successful in greatly reducing mortality following heat waves [48][52].-  
18 For example, the 2003 heat wave in Western Europe resulted in 35,000 deaths, but a  
19 warning system is being developed by the World Health Organization so that  
20 subsequent heat waves do not in more recent years did produce not produce such  
21 devastatingetrimental results.effects. However, heat-health watch warning systems are  
22 not able to reduce heat-related mortality without a prevention plan targeting vulnerable

1 subgroups, such as the one being developed by the World Health Organization on  
2 Health Health Action Plans (<http://www.euro.who.int/Document/E91350.pdf>).

3 ~~Since many of these deaths occurred in the elderly in urban areas, the diminished~~  
4 ~~mortality can be partially attributed to the loss of the susceptible population, but also to~~  
5 ~~better heat warning systems especially in the affected areas, such as Western Europe~~  
6 ~~[39, 53, 54].~~

7

## 8 **Conclusions**

9

10 ~~There appears to be confounding/effect modification by particulate matter and/or ozone~~  
11 ~~in some studies. Elevated temperature was associated with increased risk for those~~  
12 ~~dying from cardiovascular, respiratory, cerebrovascular, and some specific~~  
13 ~~cardiovascular diseases, such as ischemic heart disease, congestive heart failure, and~~  
14 ~~myocardial infarction. Vulnerable subgroups also included: Black racial/ethnic group,~~  
15 ~~women, those with lower socioeconomic status, and all age groups, particularly the~~  
16 ~~elderly over 65 years of age as well as infants and young children. Many of these~~  
17 ~~outcomes and vulnerable subgroups have not been identified previously and were~~  
18 ~~dependent on the location and study population. Thus, region-specific policies,~~  
19 ~~especially in urban areas, are vital to the mitigation of heat-related deaths.~~

20

21

## 1 List of abbreviations

2 CO carbon monoxide

3 NO<sub>2</sub> nitrogen dioxide

4 O<sub>3</sub> ozone

5 PM particulate matter

6 SO<sub>2</sub> sulfur dioxide

7 C Celsius

8 F Fahrenheit

9 CI confidence interval

10

11

## 12 Competing interests

13 The author declares no competing interests.

14 ~~The opinions expressed in this article are solely those of the author and do not~~  
15 ~~represent the policy or position of the State of California or the California Environmental~~  
16 ~~Protection Agency.~~

17

18

## 19 Author's contributions

20

21 **Rupa Basu** conducted the literature search for this review, specified the inclusion and  
22 exclusion criteria, constructed the tables, and drafted and revised the manuscript for  
23 consideration for publication.

24

## 25 Author's information

26

27 ~~Rupa Basu is currently a research scientist at Office of Environmental Health Hazard~~  
28 ~~Assessment's (OEHHA) Air Pollution Epidemiology section. Prior to joining OEHHA,~~  
29 ~~she worked at the US Environmental Protection Agency, after obtaining her PhD degree~~  
30 ~~in environmental and occupational epidemiology from The Johns Hopkins University~~  
31 ~~School of Public Health and her Master of Public Health degree with an emphasis in~~  
32 ~~environmental health at UCLA. Dr. Basu's research has focused on various~~  
33 ~~epidemiologic methods to examine environmental exposures, including estimating the~~  
34 ~~independent effects of air pollution and temperature on mortality, assessing the effects~~  
35 ~~of heat exposure on the elderly, and examining the effects of air pollution on birth~~  
36 ~~weight. She has also published a review on the health effects of indoor nitrogen dioxide~~  
37 ~~from exposure to gas stoves and a previous review of heat-related mortality.~~

38

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40

41

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1  
2 The opinions expressed in this article are solely those of the author and do not  
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4 Protection Agency.

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1 **Table 1: Recent Studies of High Ambient Temperature and Daily\* Mortality**

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Reference	Study location	Method	Exposure	Result
Baccini 2008 <a href="#">[12][7]</a>	15 European cities, June-August 1990-2000 (5-11 years depending on data availability for city)	Time-series	Maximum apparent temperature (threshold 29.4C Mediterranean cities and 23.3C north-continental cities)	1C increase above threshold 3.12% (0.60-5.72%) in Mediterranean and 1.84% (0.06-3.64%) in north-continental region
Basu 2008 <a href="#">[6][5]</a>	9 California counties, May to September 1999-2003	Time-series and case-crossover	Daily apparent temperature (minimum, mean, maximum); daily mean $O_3$ , $PM_{2.5}$ , $PM_{10}$ , $NO_2$ , $CO$ , $SO_2$	<a href="#">2.3% (1.0-3.6%) per 10F increase in mean apparent temperature; similar results for minimum and maximum and between methods; lag 0 best fit and strongest effect estimates</a>
McMichael 2008 <a href="#">[49][55]</a>	Delhi, Monterrey, Mexico City, Chiang Mai, Bangkok, Salvador, Sao Paulo, Santiago, Cape Town, Ljubljana, Bucharest, Sofia, 2 to 5-year series (1991-1999)	Time-series ( <a href="#">Poisson models with season, humidity, pollution, day of the week and holidays</a> )	Daily maximum, minimum temperature, relative humidity, precipitation data, $PM_{10}$ , BS, or TSP	Increasing death rates with increasing heat in all cities except Chiang Mai and Cape Town; threshold 16C-31C, generally higher in cities with warmer climates (also cold effects, unrelated to climate)
<a href="#">Vaneckova 2008a [50]</a>	<a href="#">Sydney, Australia, October to March 1993-2001</a>	<a href="#">Time-series</a>	<a href="#">Temporal Synoptic Index (TSI)</a>	<a href="#">Hot, dry (rare) and warm, humid (more frequent) TSIs highest rates; elderly, women more vulnerable; <math>O_3</math> on warm, humid days and <math>PM_{10}</math> on hot, dry days found at high concentrations, but impact unclear</a>
Zanobetti and Schwartz 2008 <a href="#">[7][6]</a>	9 U.S. counties, May to September 1999-2002	Time-series and case-crossover	Daily apparent temperature (minimum, mean, maximum); daily mean $O_3$ , $PM_{2.5}$ , $PM_{10}$	<a href="#">1.8% (1.09-2.5%) case-crossover and 2.7% (2-3.5%) time-series per 10F increase in mean apparent temperature; similar results for minimum and maximum temperature; lag 0 best fit and strongest effect estimates</a>
<a href="#">Barnett 2007 [51]</a>	<a href="#">107 U.S cities using data from the National Morbidity and Mortality Study, 1987-2000</a>	<a href="#">Case-crossover</a>	<a href="#">Daily temperature</a>	<a href="#">Summer 1987 average increase in cardiovascular deaths was 4.7% per 10F. By summer 2000, the risk with higher temperature had disappeared (-0.4%), possibly due to air conditioning use.</a>
<a href="#">Carson 2006 [52]</a>	<a href="#">London, England, 1900-1910, 1927-1937, 1954-1964, 1986-1996</a>	<a href="#">Time-series</a>	<a href="#">Daily mean temperature</a>	<a href="#">Ratio of winter deaths higher than non-winter deaths in four time periods; heat deaths diminished over the century</a>
Kim 2006 <a href="#">[21][42]</a>	6 cities in South Korea, summer 1994-2006	Time-series	Temperature thresholds (27-29.7C)	16.3% (14.2, 18.4), 9.10% (5.12, 13.2), 7.01% (4.42, 9.66), 6.73% (2.47, 11.2) for Seoul, Daegu, Incheon and Gwangju,

				respectively, for daily mean temperature 1C above threshold
<del>Le Tertre 2006 [15][39]</del>	<del>9 French cities, August 2003 heat wave</del>	<del>Time-series</del>	<del>Temperature terms with added heat wave term</del>	<del>3,096 excess deaths; RR for excess deaths ranged from 1.16 to 5.00</del>
Michelozzi 2006 [52][56]	4 Italian cities, June to September 2003 & 2004 and reference period (Roma, Torino, Milano: 1995-2002 and Bologna: 1996-2002)	Time-series	Daily maximum apparent temperature	Greatest variation in mortality in 2003 for all cities; increase also at 26-28C for Torino and Roma
<del>Genti 2005 [54]</del>	<del>21 capitals of Italian regions, June to August 2003</del>	<del>Comparison of mortality counts to 2002</del>	<del>Humidex</del>	<del>3,134 excess deaths; greatest increase among elderly and NW cities</del>
<del>Grize 2005 [53]</del>	<del>Switzerland, January 1990-December 2003</del>	<del>Excess mortality from 2003 heat wave</del>	<del>Daily mean, minimum, maximum temperature, mean/maximum PM10, O3, NO2</del>	<del>7% increase June to August 2003; mostly in region north of Alps with combination of day temperature above 35C and night temperature above 20C</del>
<del>Davis 2003 [57]</del>	<del>28 US metropolitan areas, 1964-1998</del>	<del>Annual excess mortality</del>	<del>Threshold for apparent temperature</del>	<del>41.0 (SE 4.8) per million in the 1960s and 70s, 17.3 (2.7) in the 80s and 10.5 (2.0) in the 90s in combined analysis</del>
<del>El-Zein 2004 [53]</del>	<del>Greater Beirut, Lebanon, 1997-1999</del>	<del>Time-series</del>	<del>Mean daily temperature, mean daily humidity</del>	<del>Relatively high minimum mortality temperature (TMM) = 27.5 C; 12.3% (5.7, 19.4%) increase in annual mortality above TMM</del>
<del>Goodman 2004 [16]</del>	<del>Dublin, Ireland, April 1980 to December 1996</del>	<del>Time-series</del>	<del>Mean daily minimum temperature (same-day up to 40 days after exposure), daily mean relative humidity</del>	<del>0.4% increase in total mortality per 1C increase, and 2.5% in mortality in the following days: immediate cardiovascular effects, delayed respiratory effects; all death rates age-standardized</del>
<del>Pattenden 2003 [15]</del>	<del>Sofia, Bulgaria (1996-1999) and London, England (1993-1996)</del>	<del>Time-series</del>	<del>Daily weather (2-day mean) and PM (black smoke for London and total suspended particulates for Sofia)</del>	<del>For every C increase above the 95th %, mortality increased by 1.9% (1.4 to 2.4) in London, and 3.5% (2.2 to 4.8) in Sofia.</del>
<del>Curriero 2002 [54][58]</del>	<del>11 Eastern US cities, 1973-1994</del>	<del>Time-series</del>	<del>Models using temperature, dew point temperature</del>	<del>J-shaped curve, lag01 most predictive, variations by latitude, socioeconomicSES factors</del>
<del>Braga 2001 [55][59]</del>	<del>12 US cities. 1986-1993</del>	<del>Time-series</del>	<del>Temperature, humidity (% increase relative to 30C)</del>	<del>Hot temperature effect primarily harvesting effect; neither hot or cold temperatures had much effect in hot cities</del>

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2 \* exceptions: El-Zein 2004 (annual), Carson 2006 (weekly) Table 2: Recent Studies of High Ambient  
3 Temperature and Mortality Examining Air Pollution  
4 as a Potential Confounder and/or Effect Modifier  
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**Table 2a: Recent Time-series Studies of High Ambient Temperature and Mortality Examining Ozone as a Potential Confounder and/or Effect Modifier**

Reference	Study location	Exposure	Outcome	Result
<a href="#">Basu 2008 [6]</a>	9 California counties, May to September 1999-2003	Mean daily apparent temperature; daily mean O <sub>3</sub> , also PM <sub>2.5</sub> , PM <sub>10</sub> , NO <sub>2</sub> , CO, SO <sub>2</sub>	All-cause mortality	O <sub>3</sub> not significant confounder or effect modifier
<a href="#">Ren 2008 [30]</a>	US 95 NMMAPS counties, June to September 1987-2000	Daily maximum temperature (same-day, lag 1), maximum hourly O <sub>3</sub>	CVD mortality	O <sub>3</sub> positive effect modifier in some cities; 10C increase in same-day temperature 1.17% and 8.31% increase in mortality for lowest and highest quartile O <sub>3</sub>
<a href="#">Vaneckova 2008b [27]</a>	Sydney, Australia, October to March 1993-2004	Daily maximum temperature, maximum O <sub>3</sub>	Underlying and associated causes of death	Per 10C increase, 4.5% to 12.1% change with O <sub>3</sub> and PM <sub>10</sub> in the model (% changed by -1.1% to 0.9% without pollutants), confounding present
<a href="#">Zanobetti and Schwartz 2008 [7]</a>	9 U.S. counties, May to September 1999-2002	Daily apparent temperature (minimum, mean, maximum); daily mean O <sub>3</sub>	All-cause mortality	O <sub>3</sub> not significant confounder or effect modifier
<a href="#">Filleul 2006 [31]</a>	9 French cities, all year and heat wave August 2003	Minimum and maximum temperature, 8-hour maximum O <sub>3</sub>	Daily mortality	O <sub>3</sub> different impact with temperature by city
<a href="#">Basu 2005 [5]</a>	20 US metropolitan areas, seasonal analysis 1992	Mean daily temperature per 10F adjusted for dew point temperature; daily O <sub>3</sub>	Individual and daily cardiorespiratory mortality	O <sub>3</sub> not confounder
<a href="#">O'Neill 2005 [26]</a>	Mexico City (1996-98) and Monterrey (1996-99)	% change for heat (35-36C for Monterrey), mean temperature (25C Monterrey, 15C Mexico City), daily O <sub>3</sub>	Daily mortality	Monterrey: adjusted heat effect=18.7% (11.7, 26.1); elevated risk persisted even with O <sub>3</sub> ; on hot days, O <sub>3</sub> negative confounder
<a href="#">Rainham and Smoyer-Tomic 2003 [25]</a>	Toronto, May 1 to September 30, 1980-1996	Humidex, O <sub>3</sub> , also CO, NO <sub>2</sub> , SO <sub>2</sub>	Daily mortality	Total RR=1.061 (1.045, 1.077) for 50-95% and 1.004 (significant) per 1C; O <sub>3</sub> not confounder

1 **Table 2b: Recent Case-crossover Studies of High Ambient Temperature and Mortality Examining**  
 2 **Ozone as a Potential Confounder and/or Effect Modifier**  
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<u>Reference</u>	<u>Study location</u>	<u>Exposure</u>	<u>Outcome</u>	<u>Result</u>
<u>Basu 2008 [6]</u>	<u>9 California counties, May to September 1999-2003</u>	<u>Mean daily apparent temperature; daily mean O<sub>3</sub></u>	<u>All-cause mortality</u>	<u>O<sub>3</sub> not significant confounder or effect modifier</u>
<u>Bell 2008 [23]</u>	<u>Sao Paulo, Brazil, Santiago, Chile and Mexico City, Mexico, 1998-2002</u>	<u>Same day apparent temperature, O<sub>3</sub></u>	<u>All-cause daily mortality</u>	<u>Among those 65+ years, 2.69% (-2.06, 7.88) for Santiago, 6.51% (3.57, 9.52) for Sao Paulo and 3.22% (0.93, 5.57) for Mexico City; estimates lowered although still positive after adjusting for ozone</u>
<u>Zanobetti and Schwartz 2008 [7]</u>	<u>9 U.S. counties, May to September 1999-2002</u>	<u>Daily apparent temperature (minimum, mean, maximum); daily mean O<sub>3</sub></u>	<u>All-cause mortality</u>	<u>O<sub>3</sub> not significant confounder or effect modifier</u>
<u>Medina-Ramon 2007 [29]</u>	<u>50 US cities in cold (November to March) and warm (May to September) seasons</u>	<u>Binary variable as extreme temperature and continuous; O<sub>3</sub></u>	<u>All-cause and CVD mortality</u>	<u>Adjustment for ozone reduced effect of extreme heat and linear hot temperature by 15% and 16%, respectively</u>
<u>Stafoggia 2006 [24]</u>	<u>Bologna, Milan, Rome, Turin, 1997-2003</u>	<u>30C mean apparent temperature (lag01) relative to 20C</u>	<u>All-cause mortality and previous hospitalization</u>	<u>Overall OR=1.34 (1.27, 1.42); city-specific summer O<sub>3</sub> not confounder</u>
<u>Basu 2005 [5]</u>	<u>20 US metropolitan areas, seasonal analysis 1992</u>	<u>Mean daily temperature per 10F adjusted for dew point temperature; daily O<sub>3</sub></u>	<u>Individual and daily cardiorespiratory mortality</u>	<u>O<sub>3</sub> not confounder</u>

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Reference	Study location	Method	Exposure	Outcome	Result
Basu-2008 [5]	9 California counties, May to September 1999-2003	Time-series and case-crossover	Mean daily apparent temperature; daily mean O <sub>3</sub> , PM <sub>2.5</sub> , PM <sub>10</sub> , NO <sub>2</sub> , CO, SO <sub>2</sub>	All-cause mortality	No pollutant examined confounder or effect modifier
Bell-2008 [9]	Sao Paulo, Brazil; Santiago, Chile and Mexico City, Mexico, 1998-2002	Case-crossover	Same-day apparent temperature	All-cause daily mortality	Among those 65+ years, 2.69% (-2.06, 7.88) for Santiago, 6.51% (3.57, 9.52) for Sao Paulo and 3.22% (0.93, 5.57) for Mexico City; estimates lowered although still positive after adjusting for ozone or PM <sub>10</sub>
Ren-2008 [15]	US 95 NMMAPS counties, June to September 1987-2000	Time-series	Daily maximum temperature (same-day, lag-1), maximum hourly ozone	CVD mortality	Ozone-positive effect modifier in some cities; 10C increase in same-day temperature 1.17% and 8.31% increase in mortality for lowest and highest quartile ozone
Zanobetti and Schwartz 2008 [6]	9 U.S. counties, May to September 1999-2002	Time-series and case-crossover	Daily apparent temperature (minimum, mean, maximum); daily mean O <sub>3</sub> , PM <sub>2.5</sub> , PM <sub>10</sub>	All-cause mortality	No pollutant examined confounder or effect modifier; lag-0 best fit and strongest effect estimates
Medina-Ramon 2007 [12]	50 US cities in cold (November to March) and warm (May to September) seasons	Case-crossover	Binary variable as extreme temperature and continuous; ozone	All-cause and CVD mortality	Adjustment for ozone reduced effect of extreme heat and linear hot temperature by 15% and 16%, respectively
Filleul 2006 [14]	9 French cities, all year and heat wave	Time-series	Minimum and maximum temperature,	Daily mortality	Ozone different impact with temperature by

	August 2003		8-hour maximum ozone		city
Ren-2006-[60]	Brisbane, Australia (all year January 1996 to December 2001)	Time-series	Minimum temperature, daily PM10 as modifier	Cardiorespiratory morbidity and mortality	PM10 significantly modified temperature and all-cause mortality and CVD mortality
Stafoggia-2006 [13]	Bologna, Milan, Rome, Turin, 1997-2003	Case-crossover	30C-mean apparent temperature (lag0+1) relative to 20C	All-cause mortality and previous hospitalization	Overall OR=1.34 (1.27, 1.42); city-specific summer ozone not confounder
Basu-2005-[4]	20 US metropolitan areas, seasonal analysis 1992	Case-crossover and time-series	Mean daily temperature per 10F adjusted for dew-point temperature; also PM10 and ozone	Individual and daily cardiorespiratory mortality	Strongest associations for summer in SW, SE, NW, NE; both methods similar results; PM10 confounder in summer, not ozone
Dear-2005-[16]	12 French cities, June 25-August 23, 2003	Polynomial distributed lag model	Maximum, minimum daily temperature, peak ozone level, humidity, rainfall, wind speed	Mortality	Minimum, maximum temperature and ozone all associated, also had significant interactions
O'Neill-2005-[10]	Mexico City (1996-98) and Monterrey (1996-99)	Time-series	% change for heat (35-36C for Monterrey), mean temperature (25C Monterrey, 15C Mexico City), daily ozone and PM10	Daily mortality	Monterrey: adjusted heat effect=18.7% (11.7, 26.1); elevated risk persisted even with PM10 and ozone; on hot days, ozone and PM10 negative confounders, with larger PM10 effect
Rainham and Smoyer-Tomic 2003-[29]	Toronto, May 1 to September 30, 1980-1996	Time-series	Humidex, CO, O3, NO2, SO2	Daily mortality	Total RR=1.061 (1.045, 1.077) for 50-95% and 1.004 (significant) per 1C; pollution not confounder

**Table 3a: Recent Time-series Studies of High Ambient Temperature and Mortality Examining Particulate Matter as a Potential Confounder and/or Effect Modifier**

Reference	Study location	Exposure	Outcome	Result
<a href="#">Basu 2008 [6]</a>	<a href="#">9 California counties, May to September 1999-2003</a>	<a href="#">Mean daily apparent temperature; daily mean PM<sub>2.5</sub>, PM<sub>10</sub></a>	<a href="#">All-cause daily mortality</a>	<a href="#">PM<sub>2.5</sub> or PM<sub>10</sub> not significant confounder or effect modifier</a>
<a href="#">Bell 2008 [23]</a>	<a href="#">Sao Paulo, Brazil, Santiago, Chile and Mexico City, Mexico, 1998-2002</a>	<a href="#">Same day apparent temperature, PM<sub>10</sub></a>	<a href="#">All-cause daily mortality</a>	<a href="#">Among those 65+ years, 2.69% (-2.06, 7.88) for Santiago, 6.51% (3.57, 9.52) for Sao Paulo and 3.22% (0.93, 5.57) for Mexico City; estimates lowered although still positive after adjusting for PM<sub>10</sub></a>
<a href="#">Vaneckova 2008b [27]</a>	<a href="#">Sydney, Australia, October to March 1993-2004</a>	<a href="#">Daily maximum temperature, maximum O<sub>3</sub></a>	<a href="#">Underlying and associated causes of death</a>	<a href="#">Per 10C increase, 4.5% to 12.1% change with O<sub>3</sub> and PM<sub>10</sub> in the model (% changed by -1.1% to 0.9% without pollutants), confounding present</a>
<a href="#">Ren 2006 [56]</a>	<a href="#">Brisbane, Australia (all year January 1996 to December 2001)</a>	<a href="#">Minimum temperature, daily PM<sub>10</sub> as modifier</a>	<a href="#">Cardiorespiratory morbidity and mortality</a>	<a href="#">PM<sub>10</sub> significantly modified temperature and all-cause mortality and CVD mortality</a>
<a href="#">Basu 2005 [5]</a>	<a href="#">20 US metropolitan areas, seasonal analysis 1992</a>	<a href="#">Mean daily temperature per 10F adjusted for dew point temperature; daily PM<sub>10</sub></a>	<a href="#">Individual and daily cardiorespiratory mortality</a>	<a href="#">Strongest associations for summer in SW, SE, NW, NE; both methods similar results; PM<sub>10</sub> confounder in summer</a>
<a href="#">O'Neill 2005 [26]</a>	<a href="#">Mexico City (1996-98) and Monterrey (1996-99)</a>	<a href="#">% change for heat (35-36C for Monterrey), mean temperature (25C Monterrey, 15C Mexico City), daily PM<sub>10</sub></a>	<a href="#">Daily mortality</a>	<a href="#">Monterrey: adjusted heat effect=18.7% (11.7, 26.1); elevated risk persisted even with PM<sub>10</sub>; on hot days, PM<sub>10</sub> negative confounder, larger PM<sub>10</sub> effect</a>
<a href="#">Pattenden 2003 [15]</a>	<a href="#">Sofia, Bulgaria (1996-1999) and London, England (1993-1996)</a>	<a href="#">Daily weather (2-day mean) and PM (black smoke for London and total suspended particulates for Sofia)</a>	<a href="#">Daily mortality</a>	<a href="#">PM not found to be a significant confounder in either location during hot days</a>

1 **Table 3b: Recent Case-crossover Studies of High Ambient Temperature and Mortality Examining**  
 2 **Particulate Matter as a Potential Confounder and/or Effect Modifier**  
 3

<u>Reference</u>	<u>Study location</u>	<u>Exposure</u>	<u>Outcome</u>	<u>Result</u>
<u>Basu 2008 [6]</u>	<u>9 California counties, May to September 1999-2003</u>	<u>Mean daily apparent temperature; daily mean PM<sub>2.5</sub>, PM<sub>10</sub></u>	<u>All-cause mortality</u>	<u>PM<sub>2.5</sub> or PM<sub>10</sub> not significant confounder or effect modifier</u>
<u>Bell 2008 [23]</u>	<u>Sao Paulo, Brazil, Santiago, Chile and Mexico City, Mexico, 1998-2002</u>	<u>Same day apparent temperature, PM<sub>10</sub></u>	<u>All-cause daily mortality</u>	<u>Among those 65+ years, 2.69% (-2.06, 7.88) for Santiago, 6.51% (3.57, 9.52) for Sao Paulo and 3.22% (0.93, 5.57) for Mexico City; estimates lowered although still positive after adjusting for PM<sub>10</sub></u>
<u>Basu 2005 [5]</u>	<u>20 US metropolitan areas, seasonal analysis 1992</u>	<u>Mean daily temperature per 10F adjusted for dew point temperature; daily PM<sub>10</sub></u>	<u>Individual and daily cardiorespiratory mortality</u>	<u>Strongest associations for summer in SW, SE, NW, NE; both methods similar results; PM<sub>10</sub> confounder in summer</u>

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5



1 **Table 43: Recent Time-series Studies Identifying Vulnerable Subgroups of Mortality from High**  
 2 **Ambient Temperature**  
 3

Reference	Study location	Exposure	Outcome	Result
<a href="#">Ishigami 2008 [32]</a>	<a href="#">Budapest, London and Milan, 2003</a>	<a href="#">Mean daily temperature (lag0 and lag1), PM<sub>10</sub> (TSP in Budapest), ozone</a>	<a href="#">Daily mortality</a>	<a href="#">Increased risk with age, females; 5 years greater risk in London and 10 years in Milan; 20% greater risk from external causes and respiratory CVD disease at higher temperatures</a>
<a href="#">Yip 2008 [57]</a>	<a href="#">Maricopa County, Arizona, June to September 2000-2005</a>	<a href="#">Heat index</a>	<a href="#">Heat-related deaths</a>	<a href="#">182% increase in 2005 compared to 2000-2004, same demographic (56 years, young and old outdoors more elderly found indoors)</a>
<a href="#">Hajat 2007 [33]</a>	<a href="#">England and Wales, 1993-2003</a>	<a href="#">Heat (&gt;95<sup>th</sup> %) and cold (&lt;5<sup>th</sup> %) thresholds</a>	<a href="#">Mortality</a>	<a href="#">Elderly, those in nursing care highly vulnerable, great risk for heat-related mortality for respiratory and external causes, women and in London, modified by deprivation</a>
<a href="#">Diaz 2006 [41]</a>	<a href="#">Madrid, January 1986-December 1997</a>	<a href="#">T(hwave)=Tmax-36.5C if Tmax&gt;36.5C; 5<sup>th</sup> % to 95<sup>th</sup> % temperature, NO<sub>2</sub></a>	<a href="#">AR=(RR-1)/RR for daily mortality</a>	<a href="#">AR=13.3% for circulatory causes, males 45-64 years</a>
<a href="#">Hajat 2005 [17]</a>	<a href="#">Delhi, Sao Paulo, London, January 1991-December 1994</a>	<a href="#">Daily temperature (lag 0,1) greater than 20C</a>	<a href="#">Daily mortality</a>	<a href="#">Delhi: 3 weeks after exposure; 10 days after exposure; Sao Paulo intermediate; up to 4 weeks for deaths in SP and London and Delhi</a>
<a href="#">O'Neill, Zanobetti and Schwartz 2005 [43]</a>	<a href="#">Chicago, Detroit, Minneapolis, Pittsburgh, 1988-1993 for Chicago and 1986-1993 for other cities</a>	<a href="#">Percent change daily mean temperature 29C relative to 15C (lag0), barometric pressure, day of the week, PM<sub>10</sub></a>	<a href="#">Mortality, prevalence of air conditioner (AC)</a>	<a href="#">Deaths among Blacks (9%; 5.3% greater than Whites (3.7%; 1.9% prevalence among Blacks less than that among Whites in combined</a>
<a href="#">O'Neill 2005 [26]</a>	<a href="#">Mexico City (1996-1998) and Monterrey (1996-1999)</a>	<a href="#">% change for heat (35-36C for Monterrey), mean temperature (25C Monterrey, 15C Mexico City)</a>	<a href="#">Daily mortality</a>	<a href="#">Monterrey: adjusted heat effect (11.7, 26.1); lower effect among</a>
<a href="#">Gouveia 2003 [40]</a>	<a href="#">Sao Paulo, Brazil, 1991-1994</a>	<a href="#">Daily mean temperature (lag01), SO<sub>2</sub>, PM<sub>10</sub>, CO, O<sub>3</sub>, NO<sub>2</sub>, day of the week, season, humidity</a>	<a href="#">Daily mortality, excluding violent deaths</a>	<a href="#">For each 1C above 20C, 2.5% increase for 65+ years, 2.6% (1.6, 3.6) for 15-64 years, 1.5% (1.1, 1.8) 15-64 years; cause; similar CVD effect for elderly; respiratory effect for adults and modified by SES</a>
<a href="#">O'Neill 2003 [44]</a>	<a href="#">7 US cities, 1986-1993</a>	<a href="#">Mean daily apparent temperature (% change 29C and -5C), PM<sub>10</sub></a>	<a href="#">Daily mortality, looking at effect modification by demographics &amp; other variables</a>	<a href="#">More deaths among Blacks compared to Whites, less educated, and outdoors; hospital more strongly associated with heat and cold temperatures</a>
<a href="#">Rainham and Smoyer-Tomic 2003 [42]</a>	<a href="#">Toronto, May 1 to September 30, 1980-1996</a>	<a href="#">Humidex, CO, O<sub>3</sub>, NO<sub>2</sub>, SO<sub>2</sub></a>	<a href="#">Daily mortality</a>	<a href="#">Total RR=1.061 (1.045, 1.077) and 1.004 (significant) per 1C; not pronounced in females</a>

**Table 5: Recent Case-crossover Studies Identifying Vulnerable Subgroups of Mortality from High Ambient Temperature**

Reference	Study location	Exposure	Outcome	Result
Basu and Ostro 2008 [22][8]	9 California counties, May to September 1999-2003	Daily apparent temperature	Cause-specific mortality; all-cause mortality by age, race/ ethnicity, gender, education level	Increased risk for: CVD, IHD, MI, and CHF, $\leq 1$ yr, $\leq 5$ yrs, elderly, Black race, out of hospital death; no elevated risks for cerebrovascular, diabetes, respiratory; no difference by gender or high school graduation
Bell 2008 [23][9]	Sao Paulo, Brazil, Santiago, Chile and Mexico City, Mexico, 1998-2002	Same day apparent temperature	All-cause daily mortality	Among those 65+ years, 2.69% (-2.06, 7.88) for Santiago, 6.51% (3.57, 9.52) for Sao Paulo and 3.22% (0.93, 5.57) for Mexico City; higher risk for women in Mexico City, but higher for men in Santiago and Sao Paulo, less educated greater risk in Sao Paulo
Ishigami 2008 [28][7]	Budapest, London and Milan, 2003	Mean daily temperature (lag0 and lag1), PM10 (TSP in Budapest), ozone	Daily mortality	Increased risk with age, females 65+ years greater risk in London and Milan and non-elderly adults in Milan; mortality from external causes and respiratory and CVD disease at higher temperatures
Stafoggia 2008 [37][22]	4 Italian cities, 1997-2004	Apparent temperature 30C compared to 20C	Deaths in hospitals for those with 2+ days in hospital	Overall OR=1.32 (1.25, 1.39); age, marital status and hospital ward risk indicators; greater risk for general medicine than high and intensive care units, for those with history of psychiatric disorders and cerebrovascular diseases, and heart failure, stroke, chronic pulmonary diseases
Yip 2008 [61]	Maricopa County, Arizona, June to September 2000-2005	Heat index	Heat-related deaths	182% increase in 2005 compared to 2000-2004, same demographics (mean 56 years, young and old outdoors, but more elderly found indoors)
Hajat 2007 [29][18]	England and Wales, 1993-2003	Heat ( $>95^{\text{th}}$ %) and cold ( $<5^{\text{th}}$ %) thresholds	Mortality	Elderly, those in nursing care homes most vulnerable, great risk for heat-related mortality for respiratory and external causes, women and in London, not modified by deprivation
Kolb 2007 [39][25]	Montreal, Canada 1984-1993	Mean daily and maximum temperature, barometric pressure, relative humidity, adjusted for ozone and both NO <sub>2</sub> and O <sub>3</sub> ozone	Daily mortality from congestive heart failure among 65+ years	Strong nonlinear association with maximum temperature in the warmer months, with a threshold at 25C; no association after lag 3 days
Medina-Ramon	50 US cities in	Binary variable	All-cause and CVD	Extreme heat (5.74%, 95% CI: 3.38,

2007 [29][42]	cold (November to March) and warm (May to September) seasons	as extreme temperature and continuous; ozone	mortality	8.15); largest effects in cities with milder summers, less air conditioning and higher population density		
Diaz-2006 [37][27]	Madrid, January 1986-December 1997	$T(\text{hwave})=T_{\text{max}}-36.5\text{C}$ if $T_{\text{max}}>36.5\text{C}$ ; 5 <sup>th</sup> % to 95 <sup>th</sup> % temperature, NO <sub>2</sub>	$AR=(RR-1)/RR$ for daily mortality	AR=13.3% for circulatory causes for males 45-64 years		
Dilaveris-2006 [34][23]	Athens, 2001	7-day average temperature, barometric pressure, relative humidity	Daily and monthly acute myocardial infarction mortality	More winter deaths, more pronounced in over 70 years of age; humidity indicator of monthly deaths		
Medina-Ramon 2006 [30][49]	50 US cities, 1989-2000	$\geq 99^{\text{th}}$ % for heat (May to September) and $\leq 1^{\text{st}}$ % for cold (November to March)	Daily all-cause and CVD mortality	Older age, diabetics, dying outside hospital increased risk for heat mortality		
Stafoggia 2006 [24][43]	Bologna, Milan, Rome, Turin, 1997-2003	30C mean apparent temperature (lag01) relative to 20C	All-cause mortality and previous hospitalization	Overall OR=1.34 (1.27, 1.42); increased with age and greater for women, widows and widowers, psychiatric disorders, depression, heart and circulatory disorders		
Hajat 2005 [14][38]	Delhi, Sao Paulo, London, January 1991-December 1994	Time-series	Daily temperature (lag 0,1) greater than 20C	Daily mortality	Delhi: 3 weeks after exposure; London: 2 days after exposure; Sao Paulo: intermediate; up to 4 weeks for respiratory deaths in SP and London and children in Delhi	
O'Neill, Zanobetti and Schwartz 2005 [40][30]	Chicago, Detroit, Minneapolis, Pittsburgh, 1988-1993 for Chicago and 1986-1993 for other cities	Time-series	Percent change daily mean temperature 29C relative to 15C (lag0), barometric pressure, day of the week, PM10	Mortality, prevalence of air conditioner (AC)	Deaths among Blacks (9%; 5.3, 12.8) greater than Whites (3.7%; 1.9, 5.4); AC prevalence among Blacks less than half that among Whites in combined estimate	
O'Neill 2005 [21][40]	Mexico City (1996-1998) and Monterrey (1996-1999)	Time-series	% change for heat (35-36C for Monterrey); mean temperature (25C	Daily mortality	Monterrey: adjusted heat effect=18.7% (11.7, 26.1); lower effect among	

			Monterrey, 15C Mexico City)		children
Schwartz-2005 [31][20]	Medicare patients with previous hospital admission for heart or lung disease, Michigan	Case-only	99 <sup>th</sup> % hot days (also analyzed cold days as 1%)	Mortality	Diabetics (1.17; 1.04, 1.32) higher risk on hot days. Nonwhites greater risks on both hot (1.22; 1.09-1.37) and cold (1.25; 1.12-1.40) days
Gouveia-2003 [36][26]	Sao Paulo, Brazil, 1991-1994	Time-series	Daily mean temperature (lag01), SO <sub>2</sub> , PM <sub>10</sub> , CO, O <sub>3</sub> , NO <sub>2</sub> , day of the week, season, humidity	Daily mortality, excluding violent deaths	For each 1C above 20C, 2.5% (2.1, 2.8) for 65+ years, 2.6% (1.6, 3.6) for <15 years, 1.5% (1.1, 1.8) 15-64 years for all-cause; similar CVD effect for elderly and respiratory effect for adults and elderly; no modification by SES
O'Neill-2003 [31]	7 US cities, 1986-1993	Time-series	Mean daily apparent temperature (% change 20C and -5C), PM <sub>10</sub>	Daily mortality, looking at effect modification by demographics & other variables	More deaths among Blacks compared to Whites, less educated, and outside-hospital more strongly associated with hot and cold temperatures
Rainham and Smoyer-Tomic 2003 [29, 52]	Toronto, May 1 to September 30, 1980-1996	Time-series	Humidex, CO, O <sub>3</sub> , NO <sub>2</sub> , SO <sub>2</sub>	Daily mortality	Total RR=1.061 (1.045, 1.077) for 50-95% and 1.004 (significant) per 1C; more pronounced in females